

Risk on a Page

Risk Title : Sustainable Communities for Learning / QEd - Resourcing of the delivery of the Strategic Outline Programme

Risk ID : 86

Description : If we do not effectively resource tasks and workstreams around our updated Strategic Outline Programme and business cases, then we risk undermining the delivery of our programme and also our opportunities through Local Development Plan.
If there is insufficient capacity within the construction industry to deliver the scale of capital investment required, then delivery will be delayed.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Inherent Risk : **16**

Last Update : 31/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
	12	12		9	9	9	9	9	9	9	9	9

Current Control Measures

Provide responses to planning applications seeking the appropriate level of developer contributions in accordance with the SPG, and include the LDP strategic site projects in the new strategic outline programme submission to be submitted by 31/03/2024

Last Update

29/08/2023

Risk Response

Treat

Projected Completion

01/04/2024

Undertake review of project team resourcing options to maintain programme delivery

29/08/2023

Treat

01/01/2024

Undertake monthly forward planning and early engagement with internal teams through programme governance and project initiation workshops, to aide planning and agree resourcing strategies

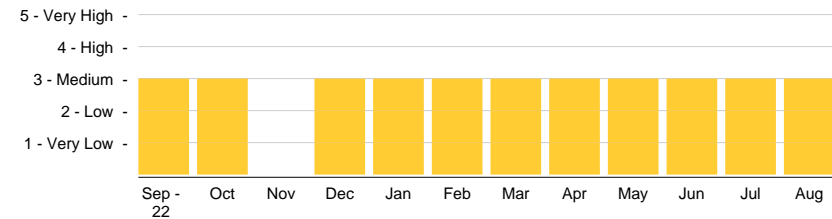
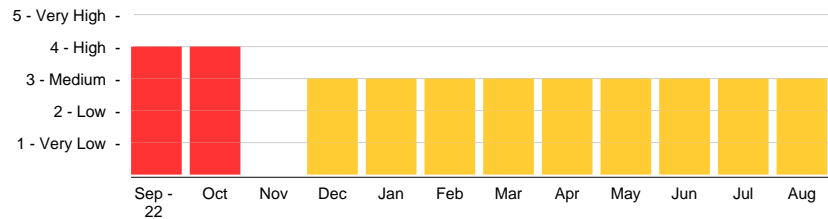
30/06/2023

Treat

31/03/2033

Current Impact : 3 - Medium

Current Likelihood : 3 - Medium



Risk on a Page

Risk Title : Accessibility Strategy

Risk ID : 89

Description : If the plan is not updated then the LA is liable to Equality Act discrimination appeals or Ombudsman complaints

Risk Level : Directorate

Responsible Officer : Rhodri.Jones

Councillor : Robert Smith

Inherent Risk : 20

Last Update : 29/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
	6	6		6	9		9	9	9		9	9

Current Control Measures

Review and update Accessibility Strategy and prepare and plan the undertaking of appropriate access audits of schools which will assist in planning investment to secure the best outcomes - requires resource capacity from ALN.

Timeline now prepared with clear actions being taken for the development of a draft strategy. COMPLETED
 Surveys prepared, inc. School Accessibility Audits, that will be sent out after half-term. Meeting to be arranged with the parent carer forum also. COMPLETED

Audits sent to schools for completion before end of academic year and Parent/Carer forum meeting taking place on 17th July to feed into drafting process. COMPLETED

Meeting has now taken place with the Parent/Carer Forum and questionnaires have been shared with them for further input over the summer. Our ALNCOs have been assisting with collecting views and information from learners and schools have been completing their accessibility audits/sharing their Accessibility Plans. The task of drafting the strategy will now move forward over the next two months.

Last Update

29/08/2023

Risk Response

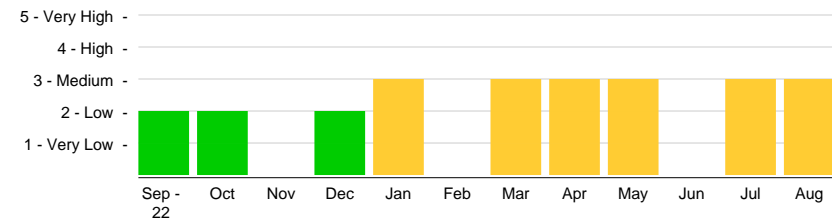
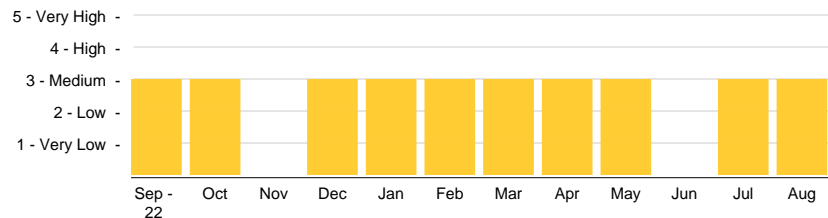
Treat

Projected Completion

01/09/2023

Current Impact : 3 - Medium

Current Likelihood : 3 - Medium



Risk on a Page

Risk Title : Loss of ESS FMS functionality in schools

Risk ID : 91

Description : If the ESS FMS system is no longer functioning then the risk is that schools will not have a financial management system in operation.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Inherent Risk : 16

Last Update : 08/08/2023

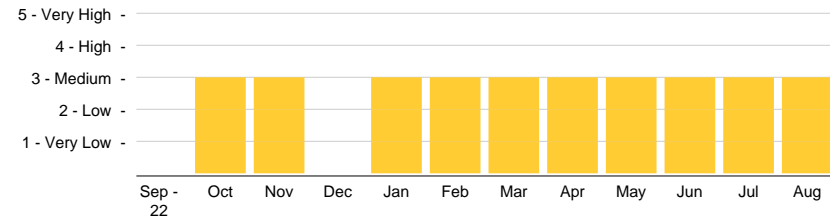
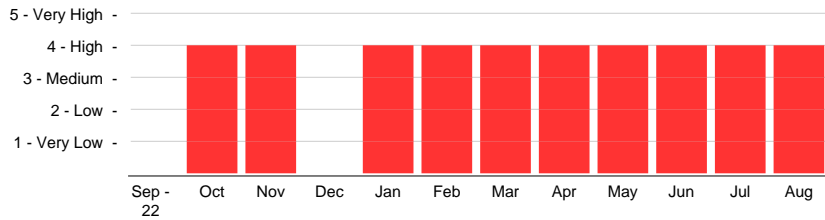
Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
		12	12		12	12	12	12	12	12	12	12

Current Control Measures

Current Control Measures	Last Update	Risk Response	Projected Completion
Support schools in providing information/plans in place for them to continue with their financial operations if the ESS FMS system was to cease working.	09/05/2023	Treat	04/06/2024
Explore options for potential alternative financial system to ESS FMS that schools could utilise.	09/05/2023	Treat	05/06/2024

Current Impact : 4 - High

Current Likelihood : 3 - Medium



Risk on a Page

Risk Title : Pupil attainment and achievement

Risk ID : 94

Description : If pupils do not receive a very good education then they will not achieve the right qualifications and skills to take advantage of the Swansea Bay City Deal and contribute effectively to the economic prosperity of the city.

Risk Level : Corporate

Responsible Officer : Helen.Morgan-Rees

Councillor : Robert Smith

Inherent Risk : 16

Last Update : 29/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
				12			9	9	9		9	9

Current Control Measures

School Profiler and School Issues: A school profiler is used across the Directorate and by internal partners to record school issues; this feeds into a monthly School Issues meeting that provides oversight of all schools and allows support to be provided in a timely and coherent way. These meetings continue to be held monthly with clear actions taken to support schools.

Last Update

29/08/2023

Risk Response

Treat

Projected Completion

31/08/2023

Monitoring and evaluation visits: The School Improvement Team visit schools regularly and report back on their findings to aid with school improvement across all schools. If required, more intensive support can then be provided from LA officers and working with Partneriaeth our regional Professional Learning partners. As it currently stands the Action Short of Strike Action being taken by the NAHT is limiting the monitoring and evaluation visits in the primary sector.

29/08/2023

Treat

31/10/2023

Ongoing Estyn inspection and regulatory activity. The Estyn framework supports the definition of good quality education in schools and supports the mitigation of a bad education where too many barriers to learning such as low attendance, high exclusion rates, poor behaviour and insufficient support for vulnerable learners, including those needing additional learning provision (ALP) remain in place. We meet regularly with our Local Authority Link Inspector (LALI) to review current performance against the framework.

29/08/2023

Treat

31/08/2023

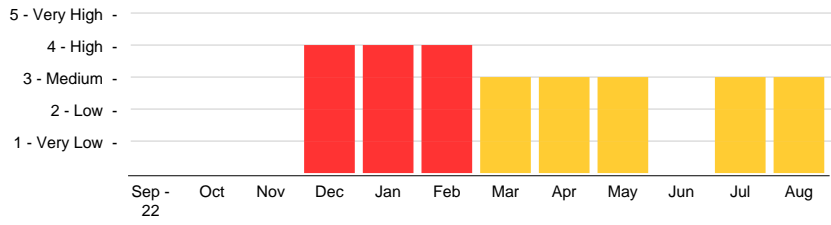
Attendance: Develop and publish new Attendance Strategy. That will include producing a revised attendance policy and a clear, concise guide to the work of the Education Welfare Service. The recommendations of the 2019/20 consultation are under review to ensure that processes are fit for current purposes, and aligned to national, regional and local strategies, given the Post Covid-19 landscape. Welsh Government Attendance Guidance will be published in autumn 2023/24.

29/08/2023

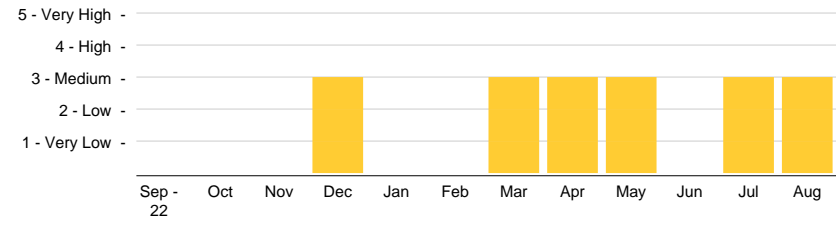
Treat

31/12/2023

Current Impact : 3 - Medium



Current Likelihood : 3 - Medium



Risk on a Page

Risk Title : Sustainable Communities for Learning / QEd - Programme funding risks

Risk ID : 96

Description : IF we are unable to gain approval to detailed business cases and timely access to the full funding envelope approved in principle by Welsh Government, and Swansea Council funding, THEN the investment in schools capital will not address all the identified priority needs. This would in turn impact on other Education strategies, policies and duties eg delivery of the WESP, planning of school places etc.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

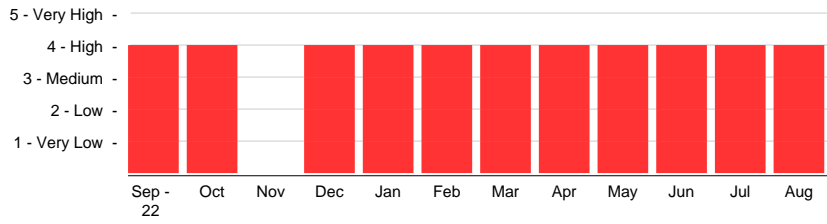
Inherent Risk : 25

Last Update : 31/08/2023

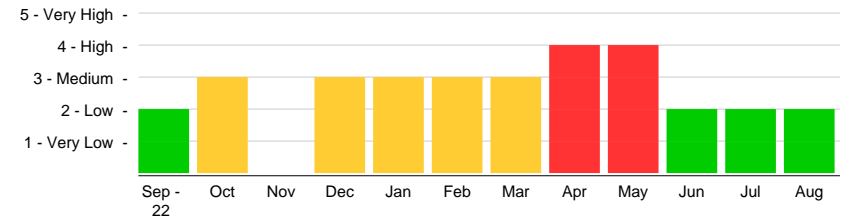
Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
	8	12		12	12	12	12	16	16	8	8	8

Current Control Measures	Last Update	Risk Response	Projected Completion
Report to Cabinet September 2023 requesting support for funding uplift to Band B programme	31/08/2023	Treat	21/09/2023
Update and discussion with CMT / Cabinet at key milestones in the programme development, securing strategic direction / buy in and support at each stage	30/06/2023	Treat	31/03/2033
Develop and submit Strategic Outline Programme revision 31/03/2024 ensuring that it encompasses a strategic forward plan for the next 9 years subject to funding availability in each 3 year window	30/06/2023	Treat	31/03/2024
At least termly engagement with WG officials. Robust business cases submitted to programme, and accompanied by funding forecast updates.	30/06/2023	Treat	31/03/2033
Maintenance of robust governance arrangements for the Sustainable Communities for Learning Programme, including monthly reporting to Board and Delivery Group.	30/06/2023	Treat	31/03/2033
Evidence based county-wide strategy supported by feasibility and business cases for the next phase of priorities.	31/08/2022	Treat	31/03/2026

Current Impact : 4 - High



Current Likelihood : 2 - Low



Risk on a Page

Risk Title : Decarbonisation

Risk ID : 301

Description : IF the Sustainable Communities for Learning / QEd programme is to contribute to the local and national decarbonisation strategies, then this will require additional funding or it will impact on the ability to deliver more projects within the programme.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Inherent Risk : 12

Last Update : 31/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
	6	6		6	6	6	6	6	6	6	6	6

Current Control Measures

Continue to work with schools and CBS to align carbon reduction strategies and provide a cohesive overarching strategy and set of employers requirements to optimise the benefit of any investment in school buildings to help reduce carbon emissions and benefit from any grant opportunities.

Last Update

29/08/2023

Risk Response

Treat

Projected Completion

01/01/2024

For each new build, major refurbishment and extension project, ensure that the scope and design is based on the Welsh Government's Sustainable Communities for Learning Programme net zero carbon funding criteria, and present the case in each business case iteration to seek the additional 100% funding for projects to deliver Net Zero Carbon in operation and meet the embodied carbon target.

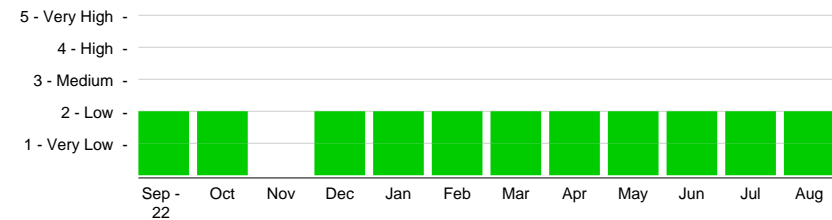
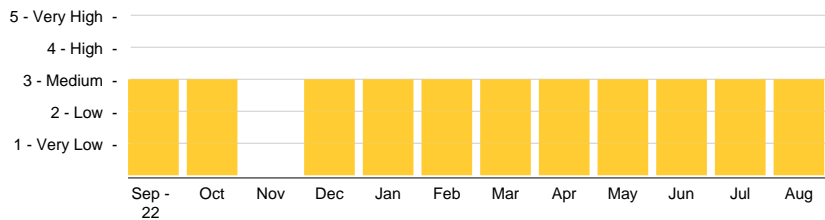
27/01/2023

Treat

31/03/2027

Current Impact : 3 - Medium

Current Likelihood : 2 - Low



Risk on a Page

Risk Title : SIMS Management Information System in Swansea Schools

Risk ID : 308

Description : The servers which currently host SIMS in the Civic Centre Data Centre are nearing end of life. There is a risk that any failure of these machines will impact on the availability of SIMS for schools.

Risk Level : Directorate

Responsible Officer : Sarah.Hughes

Councillor : Robert Smith

Inherent Risk : 20

Last Update : 30/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
	12		12	12	12	12	12	12	12	12	12	12

Current Control Measures

Plan and deliver project to migrate SIMS hosting from LA servers to SIMS Connected cloud hosting with ESS.

Last Update

29/08/2023

Risk Response

Treat

Projected Completion

31/12/2023

Consider movement to Guildhall Servers

25/07/2023

Treat

31/12/2023

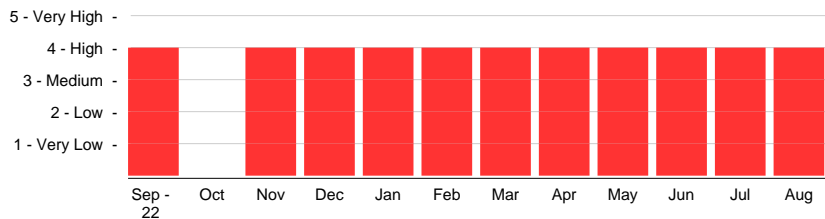
Develop protocol for schools to follow in the event of a SIMS outage.

25/07/2023

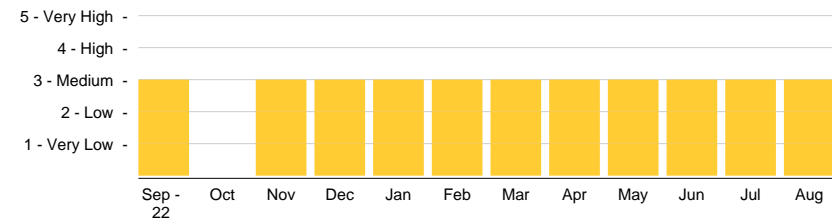
Treat

31/08/2023

Current Impact : 4 - High



Current Likelihood : 3 - Medium



Risk on a Page

Risk Title : Local health board

Risk ID : 311

Description : If the local health board is ill-equipped to manage their responsibilities as set out in the ALNET legislation it will result in increased Tribunal appeals, workload and costs to the LA.

Risk Level : Directorate

Responsible Officer : Alison.Lane2

Councillor : Robert Smith

Inherent Risk : 25

Last Update : 09/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
		16		16		16	16	16	16	16	16	16

Current Control Measures

To maintain close working relationships with LHB colleagues to develop interim arrangements to meet the demands placed upon them.

Last Update

27/03/2023

Risk Response

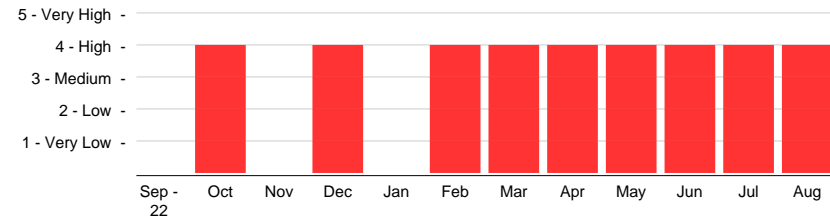
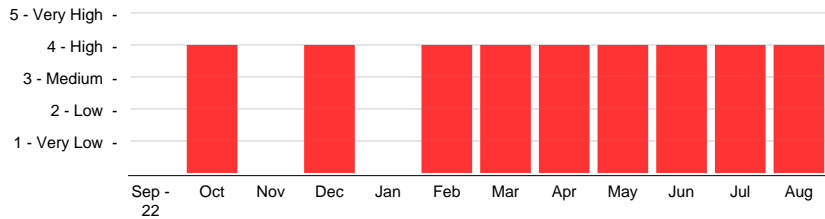
Treat

Projected Completion

30/09/2024

Current Impact : 4 - High

Current Likelihood : 4 - High



Risk on a Page

Risk Title : Increasing cost of Home to School Transport

Risk ID : 355

Description : IF costs for home to school transport continue to increase THEN direct education services for learners will need to be cut to balance the education budget. The projected overspend for HTST in FY2023-2024 is £3m.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Inherent Risk : 16

Last Update : 31/08/2023

Historical RAG :	Sep-22	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-23
											16	16

Current Control Measures

Link with consultant appointed by Transportation to assess where savings can be made

Last Update

31/08/2023

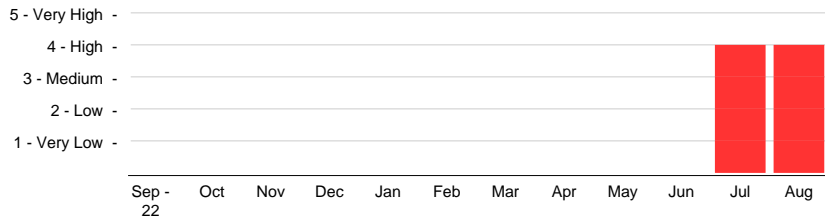
Risk Response

Tolerate

Projected Completion

01/10/2023

Current Impact : 4 - High



Current Likelihood : 4 - High

